

07-13-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-406
First Inventor DIETER W. POHL
Title METHOD AND APPARATUS FOR THE...
Express Mail Label No. EL394336106US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit on original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 30]
(Preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 7]
 - a. ☒ unsigned
Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS) PTO-1449 ☒ Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
Prior application Information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ Correspondence address below

Name Robert H. Bachman
BACHMAN & LaPOINTE, P.C.
Address 900 Chapel Street, Suite 1201
City New Haven State CT Zip Code 06510-2802
Country USA Telephone 203-777-6628 Fax 203-865-0297

Name (Print/Type) Robert H. Bachman Registration No. (Attorney/Agent) 19,374
Signature [Signature] Date 7-12-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail Label
No. EL394336106US

July 12, 2001
(Date of Filing)
Antoinette Sullo
Name and Reg. No. of Attorney
[Signature]
7-12-01

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	DIETER W. POHL
Examiner Name	
Group Art Unit	
Attorney Docket No.	01-406

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to ~~debit~~ **charge** my/our ~~credit~~ **debit** any overpayments to:
- Deposit Account Number **02-0184**
- Deposit Account Name
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
- ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

BASIC FILING FEE				
Large Entity	Small Entity	Fee Description	Fee	Fee Paid
Code (S)	Code (S)			
101 710	201 355	Utility filing fee		355
106 320	206 160	Design filing fee		
107 490	207 245	Plant filing fee		
108 710	208 355	Reissue filing fee		
114 150	214 75	Provisional filing fee		
SUBTOTAL (1)			(\$)	355

EXTRA CLAIM FEES

EXTRA CLAIM FEES				
Large Entity	Small Entity	Fee Description	Fee	Fee Paid
Code (S)	Code (S)			
103 18	203 9	Claims in excess of 20		
102 80	202 40	Independent claims in excess of 3		
104 270	204 135	Multiple dependent claims, if not paid		
109 80	209 40	Reissue independent claims over original patent		
110 18	210 9	Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			(\$)	

*for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES				
Large Entity	Small Entity	Fee Description	Fee	Fee Paid
Code (S)	Code (S)			
105 130	205 65	Surcharge - late filing fee or oath		
127 50	227 25	Surcharge - late provisional filing fee or cover sheet		
139 130	139 130	Non-English specification		
147 2,520	147 2,520	For filing a request for ex parte reexamination		
112 920*	112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		
115 110	215 55	Extension for reply within first month		
116 390	216 195	Extension for reply within second month		
117 890	217 445	Extension for reply within third month		
118 1,390	218 695	Extension for reply within fourth month		
128 1,890	228 945	Extension for reply within fifth month		
119 310	219 155	Notice of Appeal		
120 310	220 155	Filing a brief in support of an appeal		
121 270	221 135	Request for oral hearing		
138 1,510	138 1,510	Petition to institute a public use proceeding		
140 110	240 55	Petition to revive - unavoidable		
141 1,240	241 620	Petition to revive - unintentional		
142 1,240	242 620	Utility issue fee (or reissue)		
143 440	243 220	Design issue fee		
144 600	244 300	Plant issue fee		
122 130	122 130	Petitions to the Commissioner		
123 130	123 130	Petitions related to provisional applications		
126 180	126 180	Submission of Information Disclosure Stmt		
581 40	581 40	Recording each patent assignment per property (times number of properties)		
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))		
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))		
179 710	279 355	Request for Continued Examination (RCE)		
169 900	169 900	Request for expedited examination of a design application		
Other fee (specify)				
SUBTOTAL (3)			(\$)	

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Robert H. Bachman	Registration No. (Attorney/Agent)	19,374	Complete (if applicable)
Signature	<i>Robert H. Bachman</i>	Telephone	203-777-6628	Date
				7-12-01

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be included on this form. Provide credit card information and authorization on PTO-203S.

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1. Any fee paid by the applicant shall be paid by the applicant to the U.S. Patent and Trademark Office, U.S. Department of Commerce, Express Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

July 12, 2001

Antoinette Sullio

Name and Reg. No. of Attorney
Antoinette Sullio
Signature
7-12-01
Date of Signature

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